

n is four;

*Y' cont*  
*Q'*  
 $R_4$  is a naturally occurring amino acid or carbohydrate-moiety attached by an oxygen atom to the chiral carbon atom  $C^*$  by an ester linkage,  $-O-X-(R_7)_2$  or  $-O-X-(R_5)_m$ ; m being two or three and X being selected from the group consisting of C, P or S; wherein  $[R_5]$   $R_7$  is a member independently selected from the group consisting of Group Q, hydrogen, and dimethylamino, wherein when one  $R_7$  is dimethylamino, the other  $R_7$  is =O, n is 4, X is C and  $R_2$  and  $R_3$  are both methyl, and wherein  $R_5$  is a member independently selected from Group Q, and

Group Q consists of:

[hydrogen atom, wherein no more than two  $R_5$ s are hydrogen; hydroxyl group;

=O;

[dimethylamino;]

substituted or unsubstituted  $C_{(3-10)}$  alkyl,  $C_{(2-10)}$  alkenyl,  $C_{(2-10)}$  alkynyl,  $C_{(1-10)}$  alkoxy,  $C_{(1-10)}$  oxoalkyl, [or  $C_{(1-10)}$  acetoxyalkyl],  $C_{(1-10)}$  carboxyalkyl,  $C_{(1-10)}$  hydroxyalkyl, or substituted  $C_{(1-2)}$  alkyl group;

$-OR_6$ ,  $R_6$  being a substituted or unsubstituted  $C_{(1-10)}$  alkyl,  $C_{(2-10)}$  alkenyl,  $C_{(2-10)}$  alkynyl, or  $C_{(1-10)}$  oxoalkyl;

substituted or unsubstituted heterocyclic group having one or two rings, each ring containing from four to seven atoms, wherein the heteroatom(s) of said heterocyclic group is 1 or 2 nitrogens; and

substituted or unsubstituted carbocyclic group that is attached to X through a carbon atom within a ring, having one or two rings, each ring containing [from] four to seven atoms, wherein the substituents of said substituted carbocyclic group are selected from the group consisting of amino,  $C_{(2-6)}$  alkenyl,  $C_{(1-6)}$  alkyl,  $C_{(1-6)}$  alkoxy,  $C_{(1-6)}$  hydroxyalkyl, hydroxyl,  $C_{(1-6)}$  oxoalkyl, azido, carboxy, cyano,  $C_{(2-6)}$  mono- or di-haloalkyl, isocyano, isothiocyano, [phospho, phosphono, sulfonato,]

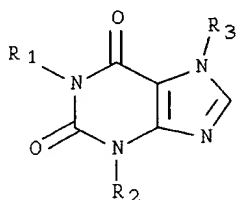
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alkylphospho, alkylphosphono, alkylsulfoxy, imino, [thioalkoxyl] alkylthio, a chlorine atom, a bromine atom, a fluorine atom and an oxygen atom.

Sub 12  
D2  
6. (Three Times Amended) The compound of claim 1, wherein substituents for the substituted C<sub>(1-10)</sub> alkyl, C<sub>(2-10)</sub> alkenyl, C<sub>(2-10)</sub> alkynyl, C<sub>(1-10)</sub> alkoxyl, C<sub>(1-10)</sub> oxoalkyl, [or C<sub>(1-10)</sub> acetoxyalkyl,] or heterocyclic groups [are] selected from the group consisting of amino, C<sub>(2-6)</sub> alkenyl, C<sub>(1-6)</sub> alkyl, C<sub>(1-6)</sub> alkoxyl, C<sub>(1-6)</sub> hydroxyalkyl, C<sub>(1-6)</sub> oxoalkyl, azido, carboxy, cyano, C<sub>(1-6)</sub> haloalkyl, isocyano, isothiocyano, [phospho, phosphono, sulfonato,] alkylphospho, alkylphosphono, alkylsulfoxy, imino, [thioalkoxyl] alkylthio, or a chlorine, bromine fluorine and oxygen atom.

Sub 13  
D3  
10. (Three Times Amended) The compound of claim 1, wherein the cyclic or heterocyclic is selected from the group consisting of benzyl, phenyl, biphenyl, cyclohexyl, cyclohexenyl, cyclopentyl, nicotinyl, cyclopentenyl, cyclopentanedionyl, naphthalenyl, phenolyl, quinonyl, cyclobutyl, cycloheptyl, cycloheptenyl, indanyl, indenyl, decalanyl, resorcinolyl, tetralinyl,  $\alpha$ -tetralonyl, 1-indanonyl, cyclohexanedionyl, cyclopentanedionyl, dimethylxanthinyl, methylxanthinyl, phthalimidyl, homophthalimidyl, [methylbenzoyleneurea-moiety,] quinazolinonyl, octylcarboxamidophenyl, [N-methylbenzamido, 1-methyl-2,4-dioxotetrahydropteridyl,] glutarimidyl, piperidonyl, succinimidyl, dimethoxyphenyl, methyl dihydrouracilyl, methyluracilyl, methylthyminyl, piperidinyl, dihydroxybenzenyl, methylpurinyl, methylxanthinyl and dimethylxanthinyl.

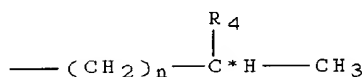
Sub 14  
D4  
12. (Twice Amended) The compound of claim 11, wherein the other R<sub>5</sub>, other than =O, is selected from the group consisting of trimethoxy-substituted phenyl, [hydroxyphenyl] and [aminophenyl] phenylamino.

15. (Three Times Amended) A pharmaceutical composition comprising a pharmaceutically acceptable excipient or carrier and a compound having the following formula I:



I

wherein R<sub>1</sub> has the formula II:



II

R<sub>2</sub> and R<sub>3</sub> are independently C<sub>(1-12)</sub> alkyl, optionally, R<sub>2</sub> having one or two nonadjacent carbon atoms of the C<sub>(1-12)</sub> alkyl being replaced by an oxygen atom; and wherein:

C\* is a chiral carbon atom;

n is four;

R<sub>4</sub> is a naturally occurring amino acid or carbohydrate-moiety attached by an oxygen atom to the chiral carbon atom C\* by an ester linkage, -O-X-(R<sub>7</sub>)<sub>2</sub> or -O-X-(R<sub>5</sub>)<sub>m</sub>; m being two or three and X being selected from the group consisting of C, P or S; wherein [ : R<sub>5</sub> ] R<sub>7</sub> is a member independently selected from the group consisting of Group Q, hydrogen and dimethylamino, wherein when one R<sub>7</sub> is dimethylamino, the other R<sub>7</sub> is =O, n is 4, X is C and R<sub>2</sub> and R<sub>3</sub> are both methyl, and wherein R<sub>5</sub> is a member independently selected from Group Q, and

Group Q consists of:

[hydrogen atom, wherein no more than two R<sub>5</sub>s are hydrogen;]  
hydroxyl group;  
=O;

05  
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cont

dimethylamino, wherein, when one  $R_5$  or  $R_7$  is dimethylamino, m and z are two, the other  $R_5$  or  $R_7$  is =O, n is 4, X is C and  $R_2$  and  $R_3$  are both methyl;

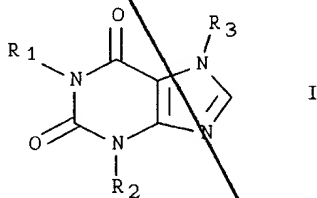
substituted or unsubstituted  $C_{(3-10)}$  alkyl,  $C_{(2-10)}$  alkenyl,  $C_{(2-10)}$  alkynyl,  $C_{(1-10)}$  alkoxy,  $C_{(1-10)}$  oxoalkyl, [or  $C_{(1-10)}$  acetoxyalkyl,]  $C_{(1-10)}$  carboxyalkyl,  $C_{(1-10)}$  hydroxyalkyl, or substituted  $C_{(1-2)}$  alkyl group;

-OR<sub>6</sub>, R<sub>6</sub> being a substituted or unsubstituted  $C_{(1-10)}$  alkyl,  $C_{(2-10)}$  alkenyl,  $C_{(2-10)}$  alkynyl, or  $C_{(1-10)}$  oxoalkyl;

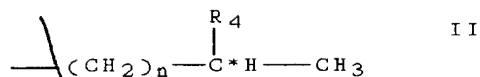
substituted or unsubstituted heterocyclic group that is attached to X through a carbon atom within a ring, having one or two rings, each ring containing [from] four to seven atoms, wherein the heteroatom(s) of said heterocyclic group is 1 or 2 nitrogens; and

substituted or unsubstituted carbocyclic group, having one or two rings, each ring containing from four to seven atoms, wherein the substituents of said substituted carbocyclic group are selected from the group consisting of amino,  $C_{(2-6)}$  alkenyl,  $C_{(1-6)}$  alkyl,  $C_{(1-6)}$  alkoxy,  $C_{(1-6)}$  hydroxyalkyl, hydroxyl,  $C_{(1-6)}$  oxoalkyl, azido, carboxy, cyano,  $C_{(2-6)}$  mono- or di-haloalkyl, isocyano, isothiocyano, [phospho, phosphono, sulfonato,] alkylphospho, alkylphosphono, alkylsulfoxy, imino, [thioalkoxyl] alkylthio, a chlorine atom, a bromine atom, a fluorine atom and an oxygen atom.

20. (Twice Amended) A compound of formula I:



wherein [one of]  $R_1$  or  $R_2$  has the formula II:



*47 cont*  
*D6 cont*  
R<sub>1</sub> or R<sub>2</sub>, which is other than formula II, and R<sub>3</sub> are independently C<sub>(1-12)</sub> alkyl, optionally, R<sub>2</sub> having one or two nonadjacent carbon atoms of the C<sub>(1-12)</sub> alkyl being replaced by an oxygen atom; and wherein:

C\* is a chiral carbon atom;

n is four;

R<sub>4</sub> is a naturally occurring amino acid or carbohydrate-moiety attached by an oxygen atom to the chiral carbon atom C\* by an ester linkage, -O-X-(R<sub>7</sub>)<sub>2</sub> or -O-X-(R<sub>5</sub>)<sub>m</sub>; m being two or three and X being selected from the group consisting of C, P or S; wherein [: R<sub>5</sub>] R<sub>7</sub> is a member independently selected from the group consisting of Group Q, hydrogen and dimethylamino, wherein when one R<sub>7</sub> is dimethylamino, the other R<sub>7</sub> is =O, n is 4, X is C and R<sub>2</sub> and R<sub>3</sub> are both methyl, and wherein R<sub>5</sub> is a member independently selected from Group Q, and

Group Q consists of:

[hydrogen atom, wherein no more than two R<sub>5</sub>s are hydrogen;]  
hydroxyl group;

=O;

dimethylamino, wherein, when one R<sub>5</sub> or R<sub>7</sub> is dimethylamino, m and z are two, the other R<sub>5</sub> or R<sub>7</sub> is =O, n is 4, X is C and R<sub>2</sub> and R<sub>3</sub> are both methyl;

substituted or unsubstituted C<sub>(3-10)</sub> alkyl, C<sub>(2-10)</sub> alkenyl, C<sub>(2-10)</sub> alkynyl, C<sub>(1-10)</sub> alkoxy, C<sub>(1-10)</sub> oxoalkyl, [or C<sub>(1-10)</sub> acetoxyalkyl,] C<sub>(1-10)</sub> carboxyalkyl, C<sub>(1-10)</sub> hydroxyalkyl, or substituted C<sub>(1-2)</sub> alkyl group;

-OR<sub>6</sub>, R<sub>6</sub> being a substituted or unsubstituted C<sub>(1-10)</sub> alkyl, C<sub>(2-10)</sub> alkenyl, C<sub>(2-10)</sub> alkynyl, or C<sub>(1-10)</sub> oxoalkyl;

substituted or unsubstituted heterocyclic group having one or two rings, each ring containing from four to seven atoms,

196  
cont  
47  
cont  
wherein the heteroatom(s) of said heterocyclic group is 1 or 2 nitrogens; and

substituted or unsubstituted carbocyclic group that is attached to X through a carbon atom within a ring, having one or two rings, each ring containing [from] four to seven atoms, wherein the substituents of said substituted carbocyclic group are selected from the group consisting of amino, C<sub>(2-6)</sub> alkenyl, C<sub>(1-6)</sub> alkyl, C<sub>(1-6)</sub> alkoxy, C<sub>(1-6)</sub> hydroxyalkyl, hydroxyl, C<sub>(1-6)</sub> oxoalkyl, azido, carboxy, cyano, C<sub>(2-6)</sub> mono- or di-haloalkyl, isocyano, isothiocyano, [phospho, phosphono, sulfonato,] alkylphospho, alkylphosphono, alkylsulfoxy, imino, [thioalkoxy] alkylthio, a chlorine atom, a bromine atom, a fluorine atom and an oxygen atom.

Please add the following new claims:

21. A compound according to claim 1, wherein R<sub>2</sub> and R<sub>3</sub> are methyl, and wherein R<sub>6</sub> is a

substituted or unsubstituted C<sub>(1-10)</sub> alkyl, C<sub>(2-10)</sub> alkenyl, C<sub>(2-10)</sub> alkynyl, or C<sub>(1-10)</sub> oxoalkyl;

substituted or unsubstituted heterocyclic group having one or two rings, each ring containing from four to seven atoms, and a single nitrogen as the heteroatom; or

substituted or unsubstituted carbocyclic group that is attached to X through a carbon atom within a ring, having one ring containing four to seven atoms, wherein the substituents of said substituted carbocyclic group are selected from the group consisting of amino, C<sub>(2-6)</sub> alkenyl, C<sub>(1-6)</sub> alkyl, C<sub>(1-6)</sub> alkoxy, C<sub>(1-6)</sub> hydroxyalkyl, hydroxyl, C<sub>(1-6)</sub> oxoalkyl, azido, carboxy, cyano, C<sub>(2-6)</sub> mono- or di-haloalkyl, isocyano, isothiocyano, imino, a chlorine atom, a bromine atom, a fluorine atom and an oxygen atom.

22. A compound according to claim 21, wherein one R<sub>7</sub> is =O and wherein one R<sub>5</sub> is =O.